

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : BRIAN J. CAPRERA
Serial No. : 10/688,778
Filed : October 17, 2003
Title : DUAL SEGMENT BALL VALVE

Art Unit : 3754
Examiner : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

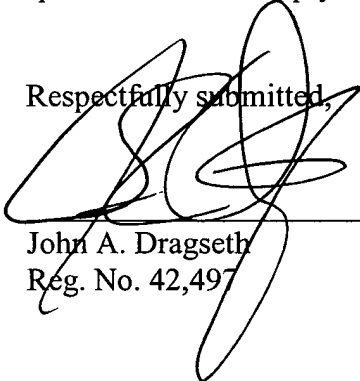
The following correspondence relating to this application is enclosed for filing:

1. Information Disclosure Statement (1 page);
2. Form PTO-1449 (1 page);
3. Copies of Cited References (14 references); and
4. A Return Postcard.

Please date stamp and return the enclosed postcard. Please apply any charges or credits to Deposit Account No. 06-1050.

Date: 2-19-04

Respectfully submitted,


John A. Dragseth
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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 19, 2004
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Lisa M. Becker
Typed or Printed Name of Person Signing Certificate



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INFORMATION DISCLOSURE STATEMENT

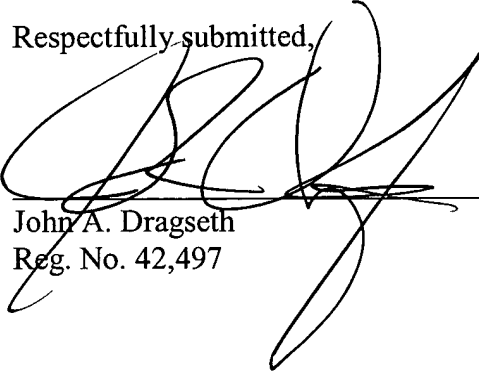
Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed before the receipt of a first Office action on the merits.

Please apply any charges or credits to Deposit Account No. 06-1050.

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Respectfully submitted,


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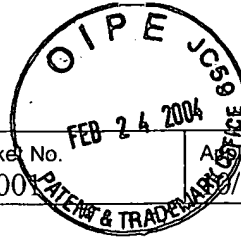
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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15826-19100	Application No. 688,778
		Applicant BRIAN J. CAPRERA	
		Filing Date October 17, 2003	Group Art Unit 3754

**Information Disclosure Statement
by Applicant**
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,191,906	06/29/65	Zeigler et al.			
	AB	3,403,887	10/01/68	Myers			
	AC	4,111,393	09/05/78	McClurg et al.			
	AD	4,157,170	06/05/79	McClurg			
	AE	4,557,461	12/10/85	Gomi et al.			
	AF	4,660,591	04/28/87	Brown et al.			
	AG	4,989,833	02/05/91	Polón			
	AH	5,016,857	05/21/91	Bovee et al.			
	AI	5,205,533	04/27/93	Berchem			
	AJ	5,904,337	05/18/99	VanKirk et al.			
	AK	6,021,812	02/08/00	Iwamoto et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	3 613 779	10/29/87	Germany				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AM	Masoneilan Catalog BN6004 showing 36004 Paramax Flanged Control Ball Valve (2 pgs.)
	AN	Fisher (Emerson) product flier PF51.3:V150 (1 page)
	AO	
	AP	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	